



FIVENCA

ASSET MANAGEMENT

Form ADV Part 2B

Gil O. Aikins (CRD No. 5157274) – Chief Compliance Officer
Adriana J Goncalves (CRD No. 7471920) – Financial Advisor

This brochure provides information about the qualifications and business practices of Fivenca Asset Management, LLC. If you have any questions about the contents of this brochure, please contact us at 954-854-0591 or by email at: gaikins@fivenca.us. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Registration of an investment adviser does not imply any level of skill or training. The oral and written communications received from an adviser provide you with information about which to utilize in determining to hire or retain an investment adviser.

Additional information about Fivenca Asset Management, LLC is also available on the SEC's website at www.adviserinfo.sec.gov.

300 S Biscayne Blvd, Apt 2007
Miami, FL 33131
Tel: +1 954-854-0591

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www.fivenca.us



Gil O. Aikins (CRD No. 5157274) – Chief Compliance Officer

Educational Background and Business Experience

Mr. Aikins is a 48-year-old financial services professional who obtained his Bachelor of Arts (BA) degree in English/Economics from the College of William & Mary and an Master of Business Administration (MBA) from Tulane University. Mr. Aikins also obtained a Certificate in Brazilian Studies from the renowned Fundação Getulio Vargas (FGV) in Sao Paulo, Brazil.

Gil Aikins is an experienced and well-rounded individual and entrepreneur having worked for marquee firms on Wall Street including Credit Suisse during the 90s (Fixed Income) and UBS in the early 2000s (International Equities). He also possesses credible emerging markets exposure having lived and worked in Ghana, South Africa, and Brazil during his notable career. In 2017, Gil founded Global Securities Consulting Group LLC, a 100% owned & operated minority business enterprise (MBE) delivering transitional C-suite outsource solutions; Principal-level placement staffing; and specialized project consulting services to broker-dealers, investment advisers, and other institutional financial market participants worldwide.

Recently, Gil served (is serving) in the following capacities:

- Feb 2024-Present Alcala Multi-Family Office (RIA) – Interim CCO
- May 2023-Present Bethel Landing Securities LLC (BD) - CEO
- Apr 2023-Aug 2024 Lyndhurst Securities (BD) – Interim CCO
- Apr 2023-Aug 2024 Lazari Capital Management Inc. (RIA) – Interim CCO
- Nov 2021-Feb 2025 Kepler Capital Markets Inc. (BD) – Series 24 Principal/SEC Rule 15a-6 Consultant
- Feb 2020-Present Aureana Capital Management (RIA) – Outsourced CCO
- Jan 2023-Nov 2023 Innova Advisors LLC (RIA) – Interim CCO
- Jul 2023-Sep 2023 Puente Asesoramiento de Inversiones LLC (RIA) – Comp. Associate
- Apr 2023-Sep 2023 Puente Servicios Financieros LLC (BD) – Compliance Associate
- Jan 2023-Jun 2023 Creand Securities (BD) – Compliance Associate
- Aug 2016-Jun 2023 Brasil Plural Securities LLC (BD) – Outsourced COO/SEC Rule 15a-6 Consultant
- Apr 2013-Sep 2014 Enclave Capital LLC (BD) – Managing Director, Head of Trading & Operations
- Apr 2011-Oct 2017 GBCG Ltd. – Director, Head of Sales

Gil holds the following Finra licenses:

Series: SIE, 3, 4, 7, 16, 24, 27, 52, 53, 55, 57, 63, 65, 66, 79, 82, and 99

His core competencies include:

Business Development | Relationship Management | Investment Advisory Services | Management Consulting | Broker-Dealer Services | SEC Rule 15-6 Consulting Services | Board/Executive Management | Sales & Trading | Private Markets | Alternative Investment



Disciplinary Information

Neither Fivenca Asset Management, nor any employee or member of the firm has been subject to any disciplinary actions by the Securities Exchange Commission (SEC) or any other regulatory authority.

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To the best of our knowledge, Mr. Gil O. Aikins, has not been involved in an event that resulted in an award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500; or in a civil, self-regulatory organization, or administrative proceeding involving any of the following events:

- (a) an investment or an investment-related business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

You may access Gil O. Aikins' regulatory file by following this link to the SEC Investment Adviser Public Disclosure (IAPD) Database:

[http://www.adviserinfo.sec.gov/\(S\(v0s1j0nivr4bef45caxf1155\)\)/IAPD/Content/Search/iapd_Search.aspx](http://www.adviserinfo.sec.gov/(S(v0s1j0nivr4bef45caxf1155))/IAPD/Content/Search/iapd_Search.aspx)

Other Business Activities

Mr. Aikins is the owner and Managing Principal of GSCG LLC, a firm that provides financial and other consulting/business services to broker-dealers, investment advisors, and various entrepreneurs. In some instances, He may serve as Interim CCO or Series 24 Principal for certain IA/BD firms, respectively. Gil is also the CEO of Bethel Landing Securities LLC, a Finra/SEC member firm engaged in select private market transactions.

Supervision

Fivenca Asset Management has adopted a Code of Ethics that sets forth the basic policies of ethical conduct for all managers, officers, and employees of the adviser. In addition, the Code of Ethics governs personal trading by each employee of Fivenca Asset Management, deemed to be an Access Person and is intended to ensure that securities transactions effected by Access Persons of Fivenca Asset Management, are conducted in a manner that avoids any actual or potential conflict of interest between such persons and clients of the adviser or its affiliates. Fivenca Asset Management, collects and maintains records of securities holdings and securities transactions effected by Access Persons. These records are reviewed to identify and resolve potential conflicts of interest. Fivenca Asset Management, maintains a code of ethics and they will provide a copy to any client or prospective client upon request.

Fivenca Asset Management, and/or its investment advisory representatives may from time-to-time purchase or sell products that they may recommend to clients.



The Firm prohibits itself and its associated persons from benefiting from the short-term market effects of transactions for clients. The Firm gives preference to clients trading over itself and Gil O. Aikins, as the firm's Chief Compliance Officer, reviews all transactions executed by the Firm on a daily basis, and conducts an additional review of all securities

transactions by officers and employees quarterly. Clients may contact Mr. Gil O. Aikins with any questions or concerns regarding their accounts or transactions, at (646) 348-0896 or email at gaikins@fivenca.us.

Requirements for State-Registered Advisers

If a supervised person, in this case Mr. Gil O. Aikins, has been involved in one of the events listed below, the firm is required disclose all material facts regarding the event:

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:

- (a) an investment or an investment-related business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:

- (a) an investment or an investment-related business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

B. If the supervised person has been the subject of a bankruptcy petition, disclose that fact, the date the petition was first brought, and the current status.

To the best of our knowledge, Mr. Gil O. Aikins has not been involved in any of the events listed above.



Adriana Goncalves (CRD No. 7471920) – Financial Advisor

Educational Background and Business Experience

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Ms. Goncalves is a 29-year-old financial services professional who obtained her Bachelor of Arts (BA) degree in Economics from the Universidad Católica Andrés Bello and a Master in Finance (MF) from IESA School Management in Venezuela.

Adriana Goncalves is an experienced and well-rounded individual. She has worked in Fivenca Asset Management since it was founded in 2017.

Her core competencies include:

Business Development | Relationship Management | Investment Advisory Services | Management Consulting | Broker-Dealer Services | Board/Executive Management | Sales & Trading | Private Markets | Alternative Investment

Disciplinary Information

Neither Fivenca Asset Management, nor any employee or member of the firm has been subject to any disciplinary actions by the Securities Exchange Commission (SEC) or any other regulatory authority.

To the best of our knowledge, Ms. Adriana Joselin Goncalves, has not been involved in an event that resulted in an award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500; or in a civil, self-regulatory organization, or administrative proceeding involving any of the following events:

- (a) an investment or an investment-related business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

Other Business Activities

Ms. Goncalves is an educator at the Universidad Católica Andrés Bello (UCAB), where she brings her expertise to the classroom. She leads the course on Financial Economy, imparting essential knowledge to students.

Supervision

Fivenca Asset Management has adopted a Code of Ethics that sets forth the basic policies of ethical conduct for all managers, officers, and employees of the adviser. In addition, the Code of Ethics governs personal trading by each employee of Fivenca Asset Management, deemed to be an Access Person and is intended to ensure that securities transactions effected by Access Persons of Fivenca Asset Management, are conducted in



a manner that avoids any actual or potential conflict of interest between such persons and clients of the adviser or its affiliates. Fivenca Asset Management, collects and maintains records of securities holdings and securities transactions effected by Access Persons. These records are reviewed to identify and resolve potential conflicts of interest. Fivenca Asset Management, maintains a code of ethics and they will provide a copy to any client or prospective client upon request.

Fivenca Asset Management, and/or its investment advisory representatives may from time-to-time purchase or sell products that they may recommend to clients.

The Firm prohibits itself and its associated persons from benefiting from the short-term market effects of transactions for clients. The Firm gives preference to clients trading over itself and Adriana J. Goncalves as managing partner, reviews all transactions executed by the Firm daily, and conducts an additional review of all securities transactions by officers and employees quarterly. Clients may contact Ms. Adriana Goncalves with any questions or concerns regarding their accounts or transactions, at +58 (424) 247-2183 or email at agoncalves@fivenca.us.

Requirements for State-Registered Advisers

If a supervised person, in this case Ms. Adriana Goncalves, has been involved in one of the events listed below, the firm is required disclose all material facts regarding the event:

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:

- (a) an investment or an investment-related business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:

- (a) an investment or an investment-related business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

B. If the supervised person has been the subject of a bankruptcy petition, disclose that fact, the date the petition was first brought, and the current status.

To the best of our knowledge, Ms. Adriana Goncalves has not been involved in any of the events listed above.