



FIVENCA

ASSET MANAGEMENT

Form ADV Part 2B

Gil O. Aikins (CRD No. 5157274) – Investment Adviser Representative

This brochure provides information about the qualifications and business practices of Fivenca Asset Management, LLC. If you have any questions about the contents of this brochure, please contact us at +1 646 415-7883 or by email at: gaikins@fivenca.us. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Registration of an investment adviser does not imply any level of skill or training. The oral and written communications received from an adviser provide you with information about which to utilize in determining to hire or retain an investment adviser.

Additional information about Fivenca Asset Management, LLC is also available on the SEC's website at www.adviserinfo.sec.gov.

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www.fivenca.us



Gil O. Aikins (CRD No. 5157274) – Investment Adviser Representative

Educational Background and Business Experience

Mr. Aikins is a 49-year-old financial services professional who obtained his Bachelor of Arts (BA) degree in English/Economics from the College of William & Mary and an Master of Business Administration (MBA) from Tulane University. Mr. Aikins also obtained a Certificate in Brazilian Studies from the renowned Fundação Getulio Vargas (FGV) in Sao Paulo, Brazil.

Gil Aikins is an experienced and well-rounded individual and entrepreneur having worked for marquee firms on Wall Street including Credit Suisse during the 90s (Fixed Income) and UBS in the early 2000s (International Equities). He also possesses credible emerging markets exposure having lived and worked in Ghana, South Africa, and Brazil during his notable career. In 2017, Gil founded Global Securities Consulting Group LLC, a 100% owned & operated minority business enterprise (MBE) delivering transitional C-suite outsource solutions; Principal-level placement staffing; and specialized project consulting services to broker-dealers, investment advisers, and other institutional financial market participants worldwide.

Recently, Gil served (is serving) in the following capacities:

Jul 2025 – Present	Blue Capay Ventures - CCO
Mar 2024 – Present	Auerbach Grayson & Company – CCP/CLO
May 2023 – Present	Bethel Landing Securities LLC (BD) - CEO
Apr 2023 - July 2024	Lazari Capital Management Inc. (RIA) – Interim CCO
Feb 2024 – Dec 2025	Alcala Multi-Family Office (RIA) – Interim CCO
Nov 2021– Feb 2025	Kepler Capital Markets Inc. (BD) – Series 24 Principal/SEC Rule 15a-6 Consultant
Feb 2020 – Aug 2025	Aureana Capital Management (RIA) – Outsourced CCO
Apr 2023 – Jul 2024	Lyndhurst Securities (BD) – Interim CCO
Jan 2023 – Nov 2023	Innova Advisors LLC (RIA) – Interim CCO
Jul 2023 – Sep 2023	Puente Asesoramiento de Inversiones LLC (RIA) – Comp. Associate
Apr 2023 – Sep 2023	Puente Servicios Financieros LLC (BD) – Compliance Associate
Jan 2023 – Jun 2023	Creand Securities (BD) – Compliance Associate
Aug 2016 – Jun 2023	Brasil Plural Securities LLC (BD) – Outsourced COO/SEC Rule 15a-6 Consultant
Apr 2013 – Sep 2014	Enclave Capital LLC (BD) – Managing Director, Head of Trading & Operations
Apr 2011 – Oct 2017	GBCG Ltd. – Director, Head of Sales

Gil holds the following Finra licenses:

Series: 3, 4, 7, 16, 24, 27, 52, 53, 55, 57, 63, 65, 66, 79, 82, and 99

His core competencies include:



Business Development | Relationship Management | Investment Advisory Services | Management Consulting | Broker-Dealer Services | SEC Rule 15-6 Consulting Services | Board/Executive Management | Sales & Trading | Private Markets | Alternative Investment

3

Disciplinary Information

Neither Fivenca Asset Management, nor any employee or member of the firm has been subject to any disciplinary actions by the Securities Exchange Commission (SEC) or any other regulatory authority.

To the best of our knowledge, Mr. Gil O. Aikins, has not been involved in an event that resulted in an award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500; or in a civil, self-regulatory organization, or administrative proceeding involving any of the following events:

- (a) an investment or an investment-related business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

You may access Gil O. Aikins' regulatory file by following this link to the SEC Investment Adviser Public Disclosure (IAPD) Database:

[http://www.adviserinfo.sec.gov/\(S\(v0s1j0nivr4bef45caxf1155\)\)/IAPD/Content/Search/iapd_Search.aspx](http://www.adviserinfo.sec.gov/(S(v0s1j0nivr4bef45caxf1155))/IAPD/Content/Search/iapd_Search.aspx)

Other Business Activities

Mr. Aikins is the owner and Managing Principal of GSCG LLC, a firm that provides financial and other consulting/business services to broker-dealers, investment advisors, and various entrepreneurs. In some instances, He may serve as Interim CCO or Series 24 Principal for certain IA/BD firms, respectively. Gil is also the CEO of Bethel Landing Securities LLC, a Finra/SEC member firm engaged in select private market transactions.

Supervision

Fivenca Asset Management has adopted a Code of Ethics that sets forth the basic policies of ethical conduct for all managers, officers, and employees of the adviser. In addition, the Code of Ethics governs personal trading by each employee of Fivenca Asset Management, deemed to be an Access Person and is intended to ensure that securities transactions effected by Access Persons of Fivenca Asset Management, are conducted in a manner that avoids any actual or potential conflict of interest between such persons and clients of the adviser or its affiliates. Fivenca Asset Management, collects and maintains records of securities holdings and securities transactions effected by Access Persons. These records are reviewed to identify and resolve potential conflicts of interest. Fivenca Asset



Management, maintains a code of ethics and they will provide a copy to any client or prospective client upon request.

Fivenca Asset Management, and/or its investment advisory representatives may from time-to-time purchase or sell products that they may recommend to clients.

The Firm prohibits itself and its associated persons from benefiting from the short-term market effects of transactions for clients. The Firm gives preference to clients trading over itself and Gil O. Aikins, as the firm's Chief Compliance Officer, reviews all transactions executed by the Firm on a daily basis, and conducts an additional review of all securities

transactions by officers and employees quarterly. Clients may contact Mr. Gil O. Aikins with any questions or concerns regarding their accounts or transactions, at (646) 348-0896 or email at gaikins@fivenca.us.

Requirements for State-Registered Advisers

If a supervised person, in this case Mr. Gil O. Aikins, has been involved in one of the events listed below, the firm is required disclose all material facts regarding the event:

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:

- (a) an investment or an investment-related business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:

- (a) an investment or an investment-related business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

B. If the supervised person has been the subject of a bankruptcy petition, disclose that fact, the date the petition was first brought, and the current status.

To the best of our knowledge, Mr. Gil O. Aikins has not been involved in any of the events listed above.